# Chapter 7

# Gravitation and Central-force motion

In this chapter we describe motion caused by central forces, especially the orbits of planets, moons, and artificial satellites due to central gravitational forces. Historically, this is the most important testing ground of Newtonian mechanics. In fact, it is not clear how the science of mechanics would have developed if the Earth had been covered with permanent clouds, obscuring the Moon and planets from view. And Newton's laws of motion with central gravitational forces are still very much in use today, such as in designing spacecraft trajectories to other planets. Our treatment here of motion in central gravitational forces is followed in the next chapter with a look at motion due to electromagnetic forces, which can also be central in special cases, but are commonly much more varied, partly because they involve both electric and magnetic forces.

# 7.1 Central forces

A central force on a particle is directed toward or away from a fixed point in three dimensions and is spherically symmetric about that point. In spherical coordinates  $(r, \theta, \varphi)$  the corresponding potential energy is also spherically symmetric, with  $U = U(r)$  alone.

For example, the Sun, of mass  $m_1$  (the source), exerts an attractive central force

$$
\boldsymbol{F} = -G \frac{m_1 m_2}{r^2} \hat{\boldsymbol{r}} \tag{7.1}
$$

## 7.1. CENTRAL FORCES



FIGURE 7.1 : Newtonian gravity pulling a probe mass  $m_2$  towards a source mass  $m_1$ .

on a planet of mass  $m_2$  (the probe), where r is the distance between their centers and  $\hat{r}$  is a unit vector pointing away from the Sun (see Figure 7.1). The corresponding gravitational potential energy is

$$
U(r) = -\int F(r) \, dr = -G \frac{m_1 m_2}{r} \,. \tag{7.2}
$$

Similarly, the spring-like central force from a fixed point (the source) on an attached (probe) mass is

$$
\mathbf{F} = -k\mathbf{r} = -k\mathbf{r}\hat{\mathbf{r}} \tag{7.3}
$$

and has a three-dimensional spring potential energy

$$
U(r) = -\int F(r) \, dr = \frac{1}{2}k r^2 \,. \tag{7.4}
$$

And the Coulomb force

$$
\boldsymbol{F} = \frac{q_1 q_2}{4\pi \varepsilon_0 r^2} \hat{\boldsymbol{r}} \tag{7.5}
$$

on a charge  $q_2$  (the probe) due to a central charge  $q_1$  (the source) has a Coulomb potential energy

$$
U(r) = -\int F(r) \, dr = \frac{1}{4\pi\varepsilon_0} \frac{q_1 q_2}{r} \,. \tag{7.6}
$$



FIGURE 7.2 : Angular momentum conservation and the planar nature of central force orbits.

In all these cases, the force is along the direction of the line joining the centers of the source point and the probe object.

The environment of a particle subject to a central force is invariant under rotations about any axis through the fixed point at the origin, so the angular momentum  $\ell$  of the particle is conserved, as we saw in Chapters 4 and 5. Conservation of  $\ell$  also follows from the fact that the torque  $\tau \equiv r \times F = 0$ due to a central force, if the fixed point is chosen as the origin of coordinates. The particle therefore moves in a  $plane$ , because its position vector r is perpendicular to the fixed direction of  $\ell = r \times p$  (see Figure 7.2). Hence, central force problems are essentially two-dimensional.

All this discussion assumes that the source of the central force is fixed in position: the Sun, or the pivot of the spring, or the source charge  $q_1$  are all at rest and lie at the origin of our coordinate system. What if the source object is also in motion? If it is accelerating, as is typically the case due to the reaction force exerted on it by the probe, the source then defines a noninertial frame, so Newton's second law cannot be used in that source frame.

<sup>&</sup>lt;sup>1</sup>The plane in which a particle moves can also be defined by two vectors: (i) the radius vector to the particle from the force center, and (ii) the initial velocity vector of the particle. Given these two vectors, as long as the central force remains the only force, the particle cannot move out of the plane defined by these two vectors. (We are assuming that the two vectors are noncolinear; if r and  $v_0$  are parallel or antiparallel the motion is obviously only one-dimensional, along a radial straight line.)



FIGURE 7.3 : The classical two-body problem in physics.

Let us then proceed to tackle the more general situation, the so-called twobody problem involving two dynamical objects, both moving around, pulling on each other through a force that lies along the line that joins their centers.

# 7.2 The two-body problem

We will now show that with the right choice of coordinates, the two-body problem is equivalent to a one-body central-force problem. If we can solve the one-body central-force problem, we can solve the two-body problem.

In the two-body problem there is a kinetic energy for each body and a mutual potential energy that depends only upon the distance between them. There are altogether six coordinates, three for the first body,  $\mathbf{r}_1 = (x_1, y_1, z_1)$ , and three for the second,  $r_2 = (x_2, y_2, z_2)$ , where all coordinates are measured from a fixed point in some inertial frame (see Figure 7.3). The alternative set of six coordinates used for the two-body problem are, first of all, three center of mass coordinates

$$
\mathbf{R}_{\rm cm} \equiv \frac{m_1 \mathbf{r}_1 + m_2 \mathbf{r}_2}{m_1 + m_2},\tag{7.7}
$$

already defined in Section 1.3: The CM vector extends from a fixed point in some inertial frame to the center of mass of the bodies. There are also three

#### relative coordinates

$$
r \equiv r_2 - r_1,\tag{7.8}
$$

where the relative coordinate vector points from the first body to the second, and its length is the distance between them.

We can solve for  $r_1$  and  $r_2$  in terms of  $R_{cm}$  and  $r$ :

$$
\boldsymbol{r}_1 = \boldsymbol{R}_{\rm cm} - \frac{m_2}{M} \boldsymbol{r} \quad \text{and} \quad \boldsymbol{r}_2 = \boldsymbol{R}_{\rm cm} + \frac{m_1}{M} \boldsymbol{r}, \tag{7.9}
$$

where  $M = m_1 + m_2$  is the total mass of the system. The total kinetic energy of the two bodies, using the original coordinates for each, is<sup>2</sup>

$$
T = \frac{1}{2}m_1\dot{r}_1^2 + \frac{1}{2}m_2\dot{r}_2^2,
$$
\n(7.10)

which can be reexpressed in terms of the new generalized velocities  $\dot{R}_{\rm cm}$ and  $\dot{r}$ . The result is (See Problem 7-10)

$$
T = \frac{1}{2}M\dot{\mathbf{R}}_{\rm cm}^2 + \frac{1}{2}\mu\dot{\mathbf{r}}^2
$$
\n(7.11)

where

$$
\mu = \frac{m_1 m_2}{M} \tag{7.12}
$$

is called the **reduced mass** of the two-body system (note that  $\mu$  is less than either  $m_1$  or  $m_2$ .) The mutual potential energy is  $U(r)$ , a function of the distance r between the two bodies. Therefore the Lagrangian of the system can be written

$$
L = T - U = \frac{1}{2}M\dot{R}_{cm}^{2} + \frac{1}{2}\mu\dot{r}^{2} - U(r)
$$
\n(7.13)

in terms of  $R_{cm}$ ,  $r$ , and their time derivatives. One of the advantages of the new coordinates is that the coordinates  $\mathbf{R}_{cm} = (X_{cm}, Y_{cm}, Z_{cm})$  are cyclic, so the corresponding total momentum of the system  $\boldsymbol{P} = M \dot{\boldsymbol{R}}_{cm}$  is conserved. That is, the center of mass of the two-body system drifts through space with constant momentum and constant velocity.

<sup>&</sup>lt;sup>2</sup>Note that we adopt the linear algebra notation for a square of a vector  $V: V^2 \equiv$  $V \cdot V = |V|^2 = V^2$ .

#### 7.2. THE TWO-BODY PROBLEM

The remaining portion of the Lagrangian is

$$
L = \frac{1}{2}\mu \dot{r}^2 - U(r) = \frac{1}{2}\mu(\dot{r}^2 + r^2\dot{\theta}^2 + r^2\sin^2\theta\dot{\varphi}^2) - U(r),\tag{7.14}
$$

which has the same form as that for a single particle orbiting around a force center, written in polar coordinates. We already know that this problem is entirely two-dimensional since the angular momentum vector is conserved. We can then choose our spherical coordinates so that the plane of the dynamics corresponding to  $\theta = \pi/2$ . This allows us to write a simpler Lagrangian with two degrees of freedom only,

$$
L = \frac{1}{2}\mu(\dot{r}^2 + r^2\dot{\theta}^2) - U(r),\tag{7.15}
$$

replacing  $\varphi$  by the usual plane polar coordinate  $\theta$ . We then immediately identify two constants of the motion:

(i)  $L$  is not an explicit function of time, so the Hamiltonian  $H$  is conserved, which in this case is also the sum of kinetic and potential energies:

$$
E = \frac{1}{2}\mu(\dot{r}^2 + r^2\dot{\theta}^2) + U(r) = \text{constant.}
$$
\n(7.16)

(ii) The angle  $\theta$  is cyclic, so the corresponding generalized momentum  $p^{\theta}$ , which we recognize as the angular momentum of the particle, is also conserved:

$$
p^{\theta} \equiv \ell = \mu r^2 \dot{\theta} = r \left( \mu r \dot{\theta} \right) = \text{constant.}
$$
\n(7.17)

This is the magnitude of the conserved angular momentum vector  $\ell = r \times p$ , written in our coordinate system, with  $p = \mu v$ .

With only two degrees of freedom left over, represented by the coordinates  $r$  and  $\theta$ , the two conservation laws of energy and angular momentum together form a complete set of first integrals of motion for a particle moving in response to a central force or in a two-body problem. We will proceed in the next section to solve the problem at hand explicitly in two different ways.

Before we do this, however, let us note an interesting attribute of our setup. Our original two-body problem collapsed into a two-dimensional onebody problem described through a position vector  $r$  pointing from the source  $m_1$  to the probe  $m_2$ . This position vector traces out the *relative* motion of the probe about the source. Yet the source may be moving around and accelerating. Although it may appear that one is incorrectly formulating physics from the perspective of a potentially non-inertial frame — that of the source — this is not so. The elegance of the two-body central force problem arises in part from the fact that the information about the non-inertial aspect of the source's perspective is neatly tucked into one parameter,  $\mu$ : we are describing the relative motion of  $m_2$  with respect to  $m_1$  by tracing out the trajectory of a fictitious particle of mass  $\mu = m_1 m_2/(m_1 + m_2)$  about  $m_1$ . Our starting-point Lagrangian of the two-body problem was written from the perspective of a third entity, an inertial observer. Yet, after a sequence of coordinate transformations and simplifications, we have found the problem is mathematically equivalent to describing the dynamics of an object of mass  $\mu$  about the source mass  $m_1$ .

Note also that if we are in a regime where the source mass is much heavier than the probe,  $m_1 \gg m_2$ , we then have  $\mu \simeq m_2$ . In such a scenario, the source mass  $m_1$  is too heavy to be affected much by  $m_2$ 's pull, so  $m_1$ essentially stays put in an inertial frame, with  $m_2$  orbiting around it. In this regime we recover the naive interpretation that one is tracing out the relative motion of a probe mass  $m_2$  from the perspective of an inertial observer sitting with  $m_1$ .

# 7.3 The effective potential energy

We start by analyzing the dynamics qualitatively, and in some generality, using the two conservation equations

$$
E = \frac{1}{2}\mu(\dot{r}^2 + r^2\dot{\theta}^2) + U(r) \text{ and } \ell = \mu r^2 \dot{\theta}.
$$
 (7.18)

We have a choice to make: We can use these two equations to eliminate either the time t or the angle  $\theta$ . In this section we will be interested in using energy diagrams to get a feel for the types of trajectories the probe can follow, so we will now eliminate the angle  $\theta$  between the two equations. By eliminating  $\theta$  we will also be able to find the time it takes for the probe to move from one radius to another. In the next section we will eliminate  $t$  instead, which will allow us to find the orbital shapes.

From the angular momentum conservation equation, we have  $\dot{\theta} = \ell/mr^2$ , so energy conservation gives

$$
\frac{1}{2}\mu \dot{r}^2 + U_{\text{eff}}(r) = E \tag{7.19}
$$

## 7.3. THE EFFECTIVE POTENTIAL ENERGY

where the "effective potential energy" is

$$
U_{\text{eff}}(r) \equiv \frac{\ell^2}{2\mu r^2} + U(r). \tag{7.20}
$$

Angular momentum conservation has allowed us to convert the rotational portion of the kinetic energy  $(1/2) \mu r^2 \dot{\theta}^2$  into a term  $\ell^2/2\mu r^2$  that depends on position alone, so it behaves just like a potential energy. Then the sum of this term and the "real" potential energy  $U(r)$  (which is related to the central force  $F(r)$  by  $F(r) = -dU(r)/dr$  together form the effective potential energy. The extra term is often called the "centrifugal potential"

$$
U_{\text{cent}}(r) \equiv \frac{\ell^2}{2\mu r^2} \tag{7.21}
$$

because its corresponding "force"  $F_{\text{cent}} = -dU_{\text{cent}}/dr = +\ell^3/\mu r^3$  tends to push the orbiting particle away from the force center at the origin. By eliminating  $\theta$  between the two conservation laws, they combine to form an equation that looks like a one-dimensional energy conservation law in the variable  $r$ . So as long as we add in the centrifugal potential energy, we can use all our experience with one-dimensional conservation-of-energy equations to understand the motion. In general, we can tell that if our  $U_{\text{eff}}(r)$  has a minimum

$$
U'_{\text{eff}}|_{\text{r=R}} = -\frac{\ell^2}{\mu r^3} + U'(r)\Big|_{\text{r=R}} = 0 , \qquad (7.22)
$$

the system admits circular orbits at  $r = R$ . Such an orbit would be stable if  $U''_{\text{eff}} > 0$ , unstable if  $U''_{\text{eff}} < 0$ , and critically stable if  $U''_{\text{eff}} = 0$ . This translates to conditions of the form

$$
U''_{\text{eff}}|_{\text{r=R}} = 3\frac{\ell^2}{\mu r^4} + U''(r)\Big|_{\text{r=R}} \begin{cases} > 0 & \text{Stable} \\ < 0 & \text{Unstable} \\ = 0 & \text{Critically stable} \end{cases} \tag{7.23}
$$

We can also determine whether the system admits bounded non-circular orbits — where  $r_{\min} < r < r_{\max}$  — or unbounded orbits — where r can extend all the way to infinity. Let us look at a couple of examples to see how the effective energy diagram method can be very useful.



FIGURE 7.4 : The effective potential for the central-spring potential.

# 7.3.1 Radial motion for the central-spring problem

The effective potential energy of a particle in a central-spring potential is

$$
U_{\text{eff}}(r) = \frac{\ell^2}{2\mu r^2} + \frac{1}{2}k r^2,\tag{7.24}
$$

which is illustrated (for  $\ell \neq 0$ ) in Figure 7.4. At large radii the attractive spring force  $F_{\text{spring}} = -dU(r)/dr = -kr$  dominates, but at small radii the centrifugal potential takes over, and the associated "centrifugal force", given by  $F_{\text{cent}} = -dU_{\text{cent}}/dr = \ell^2/\mu r^3$  is positive, and therefore outward, an inverse-cubed strongly repulsive force. We can already tell that this system admits only bounded orbits: for every orbit, there is a minimum and maximum values of  $r$  for the dynamics. In this case, we will see that these bounded orbits are also *closed*. That is, after a  $2\pi$ 's worth of evolution in  $\theta$ , the probe traces back the same trajectory. To find the explicit shape of these trajectories — which will turn out to be ellipses — we will need to integrate our differential equations. We will come back to this in Section 7.4. For now, we can already answer interesting questions such as the time of travel for the probe to move between two radii. Solving equation (7.19) (with  $U_{\text{eff}} = \ell^2/2\mu r^2 + k r^2$ ) for  $\dot{r}^2$  and taking the square root gives

$$
\frac{dr}{dt} = \pm \sqrt{\frac{2}{\mu} \left( E - kr^2 - \frac{\ell^2}{2\mu r^2} \right)}.
$$
\n(7.25)

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Separating variables and integrating,

$$
t(r) = \pm \frac{\mu}{2} \int_{r_0}^r \frac{r \ dr}{\sqrt{Er^2 - k r^4 - \ell^2 / 2\mu}},\tag{7.26}
$$

where we choose  $t = 0$  at some particular radius  $r_0$ . We have reduced the problem to quadrature.

In fact, in this case the integral can be carried out analytically<sup>3</sup>, so we can find the time it takes for the probe to move from any radius to any other radius.

# 7.3.2 Radial motion in central gravity

The effective potential energy of a particle in a central gravitational field is

$$
U_{\text{eff}}(r) = \frac{\ell^2}{2mr^2} - \frac{GMm}{r},\tag{7.27}
$$

which is illustrated (for  $\ell \neq 0$ ) in Figure 7.5. At large radii the inward gravitational force  $F_{grav} = -dU(r)/dr = -GMm/r^2$  dominates, but at small radii the centrifugal potential takes over, and the associated "centrifugal force", given by  $F_{\text{cent}} = -dU_{\text{cent}}/dr = \ell^2/mr^3$  is positive, and therefore outward, an inverse-cubed strongly repulsive force that pushes the planet away from the origin if it gets too close. Two very different types of orbit are possible in this potential. There are **bound** orbits with energy  $E < 0$ , and **unbound** orbits, with energy  $E \geq 0$ . Bound orbits do not escape to infinity. They include circular orbits with an energy  $E_{\text{min}}$  corresponding to the energy at the bottom of the potential well, where only one radius is possible, and there are orbits with  $E > E_{\text{min}}$  (but with E still negative), where the planet travels back and forth between inner and outer turning points while it is also rotating about the center. The minimum radius is called the periapse for orbits around an arbitrary object, and specifically the perihelion, perigee, and periastron for orbits around the Sun, the Earth, and a star. The maximum radius, corresponding to the right-hand turning point, is called the apoapse in general, or specifically the aphelion, apogee, and apastron.

Unbound orbits are those with no outer turning point: these orbits extend out infinitely far. There are orbits with  $E = 0$  that are just barely unbound:

<sup>3</sup>See Problem 7-15



FIGURE 7.5 : The effective gravitational potential.

in this case the kinetic energy goes to zero in the limit as the orbiting particle travels infinitely far from the origin. And there are orbits with  $E > 0$  where the particle still has nonzero kinetic energy as it escapes to infinity. In fact, we will see in the next section that orbits with energies  $E = E_{\text{min}}$  are circles, those with  $E_{\text{min}} < E < 0$  are ellipses, those with  $E = 0$  are parabolas, and those with  $E > 0$  are hyperbolas.

Now we can tackle the effective one-dimensional energy equation in  $(r, t)$ to try to obtain a second integral of motion. Our goal is to find  $r(t)$  or  $t(r)$ , so we will know how far a planet, comet, or spacecraft moves radially in a given length of time, or long it takes any one of them to travel between two given radii in its orbit.

Solving equation (7.19) (with  $U_{\text{eff}} = \ell^2/2\mu r^2 - G m_1 m_2/r$ ) for  $\dot{r}^2$  and taking the square root gives

$$
\frac{dr}{dt} = \pm \sqrt{\frac{2}{\mu} \left( E + \frac{Gm_1m_2}{r} - \frac{\ell^2}{2\mu r^2} \right)}.
$$
\n(7.28)

Separating variables and integrating,

$$
t(r) = \pm \frac{\mu}{2} \int_{r_0}^{r} \frac{r \ dr}{\sqrt{Er^2 + G m_1 m_2 r - \ell^2 / 2\mu}},\tag{7.29}
$$

where we choose  $t = 0$  at some particular radius  $r_0$ . We have reduced the problem to quadrature. In fact, the integral can also be carried out analytically, so we can calculate how long it takes a planet or spacecraft to travel from one radius to another in its orbit (See Problem 7-16).

# 7.4 The shape of central-force orbits

We will first eliminate the time t from the equations, leaving only  $r$  and  $\theta$ . This will allow us to find orbital *shapes*. That is, we will find a single differential equation involving r and  $\theta$  alone, which will give us a way to find the shape  $r(\theta)$ , the radius of the orbit as a function of the angle, or  $\theta(r)$ , the angle as a function of the radius.

Beginning with the first integrals

$$
E = \frac{1}{2}m\dot{r}^2 + \frac{\ell^2}{2mr^2} + U(r) \quad \text{and} \quad \ell = mr^2\dot{\theta}, \tag{7.30}
$$

we have two equations in the three variables,  $r, \theta$ , and t. When finding the shape  $r(\theta)$  we are unconcerned with the time it takes to move from place to place, so we eliminate t between the two equations. Solving for  $dr/dt$  in the energy equation and dividing by  $d\theta/dt$  in the angular momentum equation,

$$
\frac{dr}{d\theta} = \frac{dr/dt}{d\theta/dt} = \pm \sqrt{\frac{2m}{\ell^2}} r^2 \sqrt{E - \ell^2 / 2mr^2 - U(r)},\tag{7.31}
$$

neatly eliminating t. Separating variables and integrating,

$$
\theta = \int d\theta = \pm \frac{\ell}{\sqrt{2m}} \int^r \frac{dr/r^2}{\sqrt{E - \ell^2/2mr^2 - U(r)}}\tag{7.32}
$$

reducing the shape problem to quadrature. Further progress in finding  $\theta(r)$ requires a choice of  $U(r)$ .

# 7.4.1 Central spring-force orbits

A spring force  $\mathbf{F} = -k\mathbf{r}$  pulls on a particle of mass m toward the origin at  $r = 0$ . The force is central, so the particle moves in a plane with a potential energy  $U = (1/2)kr^2$ . What is the shape of its orbit? From equation (7.32),

$$
\theta(r) = \pm \frac{\ell}{\sqrt{2m}} \int^{r} \frac{dr/r^2}{\sqrt{E - \ell^2/2mr^2 - (1/2)kr^2}}.
$$
\n(7.33)

Multiplying top and bottom of the integrand by r and substituting  $z = r^2$ gives

$$
\theta(z) = \pm \frac{\ell}{2\sqrt{2m}} \int^{z} \frac{dz/z}{\sqrt{-\ell^2/2m + Ez - (k/2)z^2}}.
$$
\n(7.34)

On the web or in integral tables we find that

$$
\int^{z} \frac{dz/z}{\sqrt{a+bz+cz^2}} = \frac{1}{\sqrt{-a}} \sin^{-1} \left( \frac{bz+2a}{z\sqrt{b^2-4ac}} \right)
$$
(7.35)

where a, b, and c are constants, with  $a < 0$ . In our case  $a = -\ell^2/2m$ ,  $b = E$ , and  $c = -k/2$ , so

$$
\theta - \theta_0 = \pm \frac{\ell}{2\sqrt{2m}} \frac{1}{\sqrt{\ell^2/2m}} \sin^{-1} \left( \frac{bz + 2a}{z\sqrt{b^2 - 4ac}} \right)
$$

$$
= \pm \frac{1}{2} \sin^{-1} \left( \frac{Er^2 - \ell^2/m}{r^2 \sqrt{E^2 - k\ell^2/m}} \right) \tag{7.36}
$$

where  $\theta_0$  is a constant of integration. Multiplying by  $\pm 2$ , taking the sine of each side, and solving for  $r^2$  gives the orbital shape equation

$$
r^{2}(\theta) = \frac{\ell^{2}/m}{E \mp (\sqrt{E^{2} - k\ell^{2}/m})\sin 2(\theta - \theta_{0})}.
$$
\n(7.37)

Note that the orbit is closed (since  $r^2(\theta+2\pi) = r^2(\theta)$ ), and that it has a long axis (corresponding to an angle  $\theta$  where the denominator is small because the second term subtracts from the first term) and a short axis (corresponding to an angle where the denominator is large, because the second term adds to the first term.) In fact, the shape  $r(\theta)$  is that of an ellipse with  $r = 0$  at the *center* of the ellipse.<sup>4</sup>

The orbit is illustrated in Figure 7.6 for the case  $\theta_0 = 0$  and with a minus sign in the denominator. The effect of changing the sign or using a nonzero  $\theta_0$  is simply to rotate the entire figure about its center, while keeping the "major" axis and the "minor" axis perpendicular to one another.

<sup>&</sup>lt;sup>4</sup>A common way to express an ellipse in polar coordinates with  $r = 0$  at the center is to orient the major axis horizontally and the minor axis vertically, which can be carried out by selecting the plus sign in the denominator and choosing  $\theta_0 = \pi/4$ . In this case the result can be written  $r^2 = a^2b^2/(b^2\cos^2\theta + a^2\sin^2\theta)$  where a is the semimajor axis (half the major axis) and b is the semiminor axis. In Cartesian coordinates  $(x = r \cos \theta, y = r \sin \theta)$ this form is equivalent to the common ellipse equation  $x^2/a^2 + y^2/b^2 = 1$ .

## 7.4. THE SHAPE OF CENTRAL-FORCE ORBITS



**FIGURE 7.6** : Elliptical orbits due to a central spring force  $\mathbf{F} = -k\mathbf{r}$ .

# 7.4.2 The shape of gravitational orbits

By far the most important orbital shapes are for central gravitational forces. This is the problem that Johannes Kepler wrestled with in his self-described "War on Mars." Equipped with the observational data on the positions of Mars from Tycho Brahe, he tried one shape after another to see what would fit, beginning with a circle (which didn't work), various ovals (which didn't work), and finally an ellipse (which did.) Now we can derive the shape by two different methods, by solving the integral of equation (7.32)), and (surprisingly enough!) by *differentiating* equation (7.31).

# By direct integration

For a central gravitational force the potential energy  $U(r) = -GMm/r$ , so the integral for  $\theta(r)$  becomes

$$
\theta = \int d\theta = \pm \frac{\ell}{\sqrt{2m}} \int \frac{dr/r}{\sqrt{Er^2 + GMmr - \ell^2/2m}} \tag{7.38}
$$

which by coincidence is the same integral we encountered in Section 7.4.1 (using there the variable  $z = r^2$  instead),

$$
\int \frac{dr/r}{\sqrt{a+br+cr^2}} = \frac{1}{\sqrt{-a}} \sin^{-1} \left( \frac{br+2a}{r\sqrt{b^2-4ac}} \right),\tag{7.39}
$$

where now  $a = -\ell^2/2m$ ,  $b = GMm$ , and  $c = E$ . Therefore

$$
\theta - \theta_0 = \pm \sin^{-1} \left( \frac{GMm^2 - \ell^2}{\epsilon \ GMm^2 r} \right),\tag{7.40}
$$

where  $\theta_0$  is a constant of integration and we have defined the **eccentricity** 

$$
\epsilon \equiv \sqrt{1 + \frac{2E\ell^2}{G^2 M^2 m^3}}.\tag{7.41}
$$

We will soon see the geometrical meaning of  $\epsilon$ . Taking the sine of  $\theta - \theta_0$  and solving for r gives

$$
r = \frac{\ell^2/GMm^2}{1 \pm \epsilon \sin(\theta - \theta_0)}.\tag{7.42}
$$

By convention we choose the plus sign in the denominator together with  $\theta_0 = \pi/2$ , which in effect locates  $\theta = 0$  at the point of closest approach to the center, called the periapse of the ellipse. This choice changes the sine to a cosine, so

$$
r = \frac{\ell^2 / GMm^2}{1 + \epsilon \cos \theta}.\tag{7.43}
$$

This equation gives the allowed shapes of orbits in a central gravitational field. Before identifying these shapes, we will derive the same result by a very different method that is often especially useful.

### By differentiation

Returning to equation (7.31) with  $U(r) = -GMm/r$ ,

$$
\frac{dr}{d\theta} = \pm \sqrt{\frac{2m}{\ell}} r^2 \sqrt{E - \frac{\ell^2}{2mr^2} + \frac{GMm}{r}},\tag{7.44}
$$

we will now *differentiate* rather than *integrate* it. The result turns out to be greatly simplified if we first introduce the *inverse radius*  $u = 1/r$  as the coordinate. Then

$$
\frac{dr}{d\theta} = \frac{d(1/u)}{d\theta} = -\frac{1}{u^2}\frac{du}{d\theta}.\tag{7.45}
$$

Squaring this gives

$$
\left(\frac{du}{d\theta}\right)^2 \equiv (u')^2 = \frac{2m}{\ell^2} \left(E - \frac{\ell^2 u^2}{2m} + (GMm)u\right). \tag{7.46}
$$

#### 7.4. THE SHAPE OF CENTRAL-FORCE ORBITS

Differentiating both sides with respect to  $\theta$ ,

$$
2u'u'' = -2uu' + \frac{2GMm^2}{\ell^2}u'.\tag{7.47}
$$

Then dividing out the common factor  $u'$ , since (except for a circular orbit) it is generally nonzero, we find

$$
u'' + u = \frac{GMm^2}{\ell^2}.\tag{7.48}
$$

The most general solution of this *linear* second-order differential equation is the sum of the general solution of the homogeneous equation  $u'' + u = 0$ and any particular solution of the full (inhomogeneous) equation. The most general solution of  $u'' + u = 0$  can be written  $u_H = A \cos(\theta - \delta)$ , where A and  $\delta$  are the two required arbitrary constants. A particular solution of the full equation is the constant  $u_P = GMm^2/\ell^2$ , so the general solution of the full equation is

$$
u = A\cos(\theta - \delta) + GMm^2/\ell^2. \tag{7.49}
$$

The shape of the orbit is therefore

$$
r = 1/u = \frac{\ell^2 / GMm^2}{1 + \epsilon \cos \theta},
$$
\n(7.50)

where  $\epsilon \equiv A\ell^2/GMm^2$ , and we have set  $\delta = 0$  so that again r is a minimum at  $\theta = 0$ . Equation (7.50) is the same as equation (7.43), the result we found previously by direct integration. Even though it has merely reproduced a result we already knew, the "trick" of substituting the inverse radius works for inverse-square forces, and will be a useful springboard later when we perturb elliptical orbits.

The shapes  $r(\theta)$  given by equation (7.50) are known as "conic sections", since they correspond to the possible intersections of a plane with a cone, as illustrated in Figure 7.7. There are only four possible shapes: (i) circles, (ii) ellipses, (iii) parabolas, and (iv) hyperbolas. The shape equation can be rewritten in the form

$$
r = \frac{r_p(1+\epsilon)}{1+\epsilon\cos\theta} \tag{7.51}
$$

where  $r_p$  is the point of closest approach of the orbit to a fixed point called the focus.



FIGURE 7.7 : Conic sections: circles, ellipses, parabolas, and hyperbolas.

- 1. For **circles**, the eccentricity  $\epsilon = 0$ , so the radius  $r = r_p$ , a constant independent of angle  $\theta$ . The focus of the orbit is at the center of the circle.
- 2. For ellipses, the eccentricity obeys  $0 < \epsilon < 1$ . Note from the shape equation that in this case, as with a circle, the denominator cannot go to zero, so the radius remains finite for all angles. There are two foci in this case, and  $r_p$  is the closest approach to the focus at the right in Figure 7.8, where the angle  $\theta = 0$ . Note that the force center at  $r = 0$ is located at one of the foci of the ellipse for the gravitational force, unlike the ellipse for a central spring force of Example 6-1, where the force center was at the center of the ellipse.

The long axis of the ellipse is called the major axis, and half of this distance is the semimajor axis, denoted by the symbol a. The semiminor axis, half of the shorter axis, is denoted by b. One can derive several properties of ellipses from equation (7.43) in this case.

(a) The periapse and apoapse of the ellipse (the closest and farthest points of the orbit from the right-hand focus) are given, in terms of a and  $\epsilon$ , by  $r_p = a(1-\epsilon)$  and  $r_a = a(1+\epsilon)$ , respectively. Therefore equation (7.50) can be written in the alternative form

$$
r = \frac{a(1 - \epsilon^2)}{1 + \epsilon \cos \theta}.\tag{7.52}
$$



**FIGURE 7.8** : An elliptical gravitational orbit, showing the foci, the semimajor axis  $a$ , semiminor axis b, the eccentricity  $\epsilon$ , and the periapse and apoapse.

- (b) The sum of the distances  $d_1$  and  $d_2$  from the two foci to a point on the ellipse is the same for all points on the ellipse.<sup>5</sup>
- (c) The distance between the two foci is  $2a\epsilon$ , so the eccentricity of an ellipse is the ratio of this interfocal distance to the length of the major axis. √
- (d) The semiminor and semimajor axes are related by  $b = a$  $1-\epsilon^2$ .
- (e) The area of the ellipse is  $A = \pi ab$ .
- 3. For **parabolas**, the eccentricity  $\epsilon = 1$ , so  $r \to \infty$  as  $\theta \to \pm \pi$ , and the shape is as shown in Figure 7.9. One can show that every point on a parabola is equidistant from a focus and a line called the directrix, also shown on the figure.
- 4. For hyperbolas, the eccentricity  $\epsilon > 1$ , so  $r \to \infty$  as  $\cos \theta \to -1/\epsilon$ . This corresponds to two angles, one between  $\pi/2$  and  $\pi$ , and one between  $-\pi/2$  and  $-\pi$ , as shown in Figure 7.9.

<sup>5</sup>Therefore the well-known property of an ellipse, that it can be drawn on a sheet of paper by sticking two straight pins into the paper some distance  $D$  apart, and dropping a loop of string over the pins, where the loop has a circumference greater than 2D. Then sticking a pencil point into the loop as well, and keeping the loop taut, moving the pencil point around on the paper, the resulting drawn figure will be an ellipse.



FIGURE 7.9 : Parabolic and hyperbolic orbits

# EXAMPLE 7-1: Orbital geometry and orbital physics

Now we can relate the geometrical parameters of a gravitational orbit, the eccentricity  $\epsilon$ and semimajor axis a, to the physical parameters, the energy E and angular momentum  $\ell$ . The relationship follows from the two formulas for  $r(\theta)$  we have written, namely

$$
r = \frac{\ell^2 / GMm^2}{1 + \epsilon \cos \theta} \qquad \text{and} \qquad r = \frac{a(1 - \epsilon^2)}{1 + \epsilon \cos \theta} \tag{7.53}
$$

where

$$
\epsilon = \sqrt{1 + \frac{2E\ell^2}{G^2 M^2 m^3}}.\tag{7.54}
$$

We first consider circles and ellipses, and then parabolas and hyperbolas.

For ellipses or circles the equations match up if  $a(1-\epsilon^2) = \ell^2/GMm^2$ , so the semimajor axis of an ellipse (or the radius of the circle) is related to the physical parameters by

$$
a = \frac{\ell^2/GMm^2}{1 - \epsilon^2} = \frac{\ell^2/GMm^2}{1 - (1 + 2E\ell^2/G^2M^2m^3)} = -\frac{GMm}{2E},\tag{7.55}
$$

depending upon  $E$  but not  $\ell$ . In summary, for ellipses and circles the geometrical parameters  $a, \epsilon$  are related to the physical parameters by

$$
a = -\frac{GMm}{2E} \quad \text{and} \quad \epsilon = \sqrt{1 + \frac{2E\ell^2}{G^2M^2m^3}}.\tag{7.56}
$$

These can be inverted to give the physical parameters in terms of the geometrical parameters:

$$
E = -\frac{GMm}{2a} \quad \text{and} \quad \ell = \sqrt{GMm^2a(1 - \epsilon^2)}.
$$
 (7.57)

### 7.5. BERTRAND'S THEOREM

For parabolas and hyperbolas, the equations match if we let  $r_p(1+\epsilon) = \ell^2/GMm^2$ , where  $\epsilon = 1$  for parabolas and  $\epsilon > 1$  for hyperbolas. So the geometric parameters  $(r_p, \epsilon)$  for these orbits are given in terms of the physical parameters  $E$  and  $\ell$  by

$$
r_p = \frac{\ell^2}{(1+\epsilon)GMm^2} \qquad \epsilon = \sqrt{1 + \frac{2E\ell^2}{G^2M^2m^3}},\tag{7.58}
$$

and inversely

$$
E = \frac{GMm(\epsilon - 1)}{2r_p} \qquad \ell = \sqrt{GMm^2r_p(1 + \epsilon)} \tag{7.59}
$$

in terms of  $(r_p, \epsilon)$ . Note that for parabolas the eccentricity  $\epsilon = 1$ , so the energy  $E = 0$ .

Finally, to summarize orbits in a central inverse-square gravitational field, note that there are four, and only four, types of orbits possible, as illustrated in Figure 7.10. There are circles ( $\epsilon = 0$ ), ellipses ( $0 < \epsilon < 1$ ), parabolas ( $\epsilon =$ 1), and hyperbolas ( $\epsilon > 1$ ), with the gravitating object at one focus. Ellipses and circles are closed, bound orbits with negative total energy. Hyperbolas and parabolas are open, unbound orbits, which extend to infinity. Parabolic orbits have zero total energy, and hyperbolic orbits have a positive total energy. Circles (with  $\epsilon = 0$ ) and parabolas (with  $E = 0$ ) are so unique among the set of all solutions that mathematically one can say that they form "sets of measure zero", and physically one can say that they never occur in Nature. The orbits of planets, asteroids, and some comets are elliptical; other comets may move in hyperbolic orbits. There are no other orbit shapes for a central gravitational field: There are, for example, no "decaying" or "spiralling" purely gravitational orbits.  $6$  There are no

# 7.5 Bertrand's Theorem

In the previous two sections, we saw central potentials that admit bounded and unbounded orbits, and we found a way to calculate the orbital shapes.

<sup>6</sup>There do exist straight-line paths falling directly toward or away from the central object, but these are really limiting cases of ellipses, parabolas, and hyperbolas. They correspond to motion with angular momentum  $\ell = 0$ , so the eccentricity  $\epsilon = 1$ . If the particle's energy is negative this is the limiting case of an ellipse as  $\epsilon \to 1$ , if the energy is positive it is the limiting case of a hyperbola as  $\epsilon \to 1$ , and if the energy is zero it is a parabola with both  $\epsilon = 1$  and  $p^{\theta} = 0$ .



FIGURE 7.10 : The four types of gravitational orbits

Bounded orbit are of particular interest, since they can potentially close, and we showed that the orbits are closed for both of the special cases we treated, the central linear spring force and the central inverse-squared gravitational force. That is, after a certain finite number of revolutions, the probe starts tracing out its established trajectory — thus closing its orbit.

How general is this property of closure? What about the orbits due to other central forces? A beautiful and powerful result of mechanics is a theorem due J. Bertrand which states the following:

The only central force potentials  $U(r)$  for which all bounded orbits are closed are the following:

- 1. The gravitational potential  $U(r) \propto 1/r$ .
- 2. The central-spring potential  $U(r) \propto r^2$ .

The theorem asserts that, of all possible functional forms for a potential  $U(r)$ , only two kinds lead to the interesting situation where all bounded orbits close! And these two potentials are the familiar ones we have just treated in detail. The theorem is not very difficult to prove: We leave it to the Problems section at the end of the chapter.

So while it is interesting to find orbital shapes for other central forces, we know from the theorem that in such cases the probe will not return to the same point after completing one revolution.

# 7.6 Orbital dynamics

As we saw already in Chapter 5, the young German theorist Johannes Kepler, using the observational data on the motion of planets obtained by his employer, the Danish astronomer Tycho Brahe, identified three rules that govern the dynamics of planets in the heavens:

- 1. Planets move in elliptical orbits, with the Sun at one focus.
- 2. Planetary orbits sweep out equal areas in equal times.
- 3. The periods squared of planetary orbits are proportional to their semimajor axes cubed.

It took about a century to finally understand the physical origins of these three laws through the work of Isaac Newton. Armed with new powerful tools in mechanics, we indeed confirm the first law of Kepler. To understand the second and third, we will need to do a bit more work.

# 7.6.1 Kepler's second law

There is an interesting consequence of angular momentum conservation for arbitrary central forces. Take a very thin slice of pie extending from the origin to the orbit of the particle, as shown in Figure 7.11. To a good approximation, becoming exact in the limit as the slice gets infinitely thin, the area of the slice is that of a triangle,  $\Delta A = (1/2)$  (base  $\times$  height) =  $(1/2)r(r\Delta\theta) = (1/2)r^2\Delta\theta$ . If the particle moves through angle  $\Delta\theta$  in time  $\Delta t$ , then  $\Delta A/\Delta t = (1/2)r^2 \Delta \theta / \Delta t$ , so in the limit  $\Delta t \rightarrow 0$ ,

$$
\frac{dA}{dt} = \frac{1}{2}r^2\dot{\theta} = \frac{\mu r^2\dot{\theta}}{2\mu} = \frac{\ell}{2\mu} = \text{constant},\tag{7.60}
$$

since  $\ell$  is constant. Therefore this *areal velocity*, the rate at which area is swept out by the orbit, remains constant as the particle moves. This in turn implies that the orbit sweeps out equal areas in equal times. Between  $t_1$  and  $t_2$ , for example,

$$
A = \int_{t_1}^{t_2} \left(\frac{dA}{dt}\right) dt = \int_{t_1}^{t_2} \left(\frac{\ell}{2\mu}\right) dt = \left(\frac{\ell}{2\mu}\right) (t_2 - t_1), \tag{7.61}
$$



**FIGURE 7.11** : The area of a thin pie slice

which is the same as the area swept out between times  $t_3$  and  $t_4$  if  $t_4 - t_3 =$  $t_2 - t_1$ .

And hence we have derived Kepler's second law. Kepler himself of course did not know why the law is true; the concepts of angular momentum and central forces had not yet been invented. In the orbit of the Earth around the Sun, for example, the areas swept out in any 31-day month, say January, July, or October, must all be the same. To make the areas equal, in January, when the Earth is closest to the Sun, the pie slice must be fatter than in July, when the Earth is farthest from the Sun. Note that the tangential velocity  $v_{tan}$  must be greater in January to cover the greater distance in the same length of time, which is consistent with conservation of the angular momentum  $\ell = mr^2 \dot{\theta} = mrv_{\text{tan}}$ .

Although it was first discovered for orbiting planets, the equal-areas-inequal-times law is also valid for particles moving in any central force, including asteroids, comets, and spacecraft around the Sun; the Moon and artificial satellites around the Earth; and particles subject to a central attractive spring force or a hypothetical central exponential repulsive force.

# 7.6.2 Kepler's third law

Now we can find the period of elliptical orbits in central gravitational fields. How long does it take planets to orbit the Sun? And how long does it take the Moon, and orbiting spacecraft or other Earth satellite to orbit the Earth?

## 7.6. ORBITAL DYNAMICS

From equation (7.61) in Section 6.1, the area traced out in time  $t_2 - t_1$ is  $A = (\ell/2m)(t_2 - t_1)$ . The period of the orbit, which is the time to travel around the entire ellipse, is therefore

$$
T = (2m/\ell)A_{\text{total}} = (2m/\ell)\pi ab = \frac{2m\pi a^2 \sqrt{1 - \epsilon^2}}{\sqrt{GMm^2 a(1 - \epsilon^2)}}
$$
(7.62)

since the area of the ellipse is  $A_{total} = \pi ab = a^2 \sqrt{a^2 + b^2}$  $\overline{1-\epsilon^2}$ , and  $\ell = \sqrt{GMm^2a(1-\epsilon^2)}$ . The formula for the period simplifies to give

$$
T = \frac{2\pi}{\sqrt{GM}} a^{3/2}.\tag{7.63}
$$

It is interesting that the period depends upon the semimajor axis of the orbit, but not upon the eccentricity. Two orbits with the same semimajor axis have the same period, even though their eccentricities are different.

And we thus arrived at Kepler's third law: The periods squared of planetary orbits are proportional to their semimajor axes cubed. That is,  $T^2 \propto a^3$ .

# EXAMPLE 7-2: Halley's Comet

This most famous comet is named after the English astronomer, mathematician, and physicist Sir Edmund Halley (1656-1742), who calculated its orbit. The comet has been known as far back as 240 BC and probably longer, and was later thought to be an omen when it appeared earlier in the year of the Norman conquest at the Battle of Hastings in the year 1066. Mark Twain was born in 1835 in one of its appearances, and predicted (correctly) that he would die in its next appearance in 1910. It last passed through the Earth's orbit in 1986 and will again in 2061.

From the comet's current period<sup>7</sup> of  $T = 75.3$  yrs and observed perihelion distance  $r_p =$ 0.586 AU (which lies between the orbits of Mercury and Venus), we can calculate the orbit's (a) semimajor axis a, (b) aphelion distance  $r_a$ , and (c) eccentricity  $\epsilon$ . (Note that 1 AU is the length of the semimajor axis of Earth's orbit,  $1 \text{ AU} = 1.5 \times 10^{11} \text{ m.}$ )

(a) From Kepler's third law, which applies to comets in bound orbits as well as to all planets and asteroids, we can compare the period of Halley's comet to the period of Earth's orbit:  $T_H/T_E = (a_H/a_E)^{3/2}$ , so the semimajor axis has length

$$
a_H = a_E (T_H/T_E)^{2/3} = 1 \text{ AU} (75.3 \text{yrs/1yr})^{2/3} = 17.8 \text{ AU}.
$$
 (7.64)

<sup>7</sup>The period has varied considerably over the centuries, because the comet's orbit is easily influenced by the gravitational pulls of the planets, especially Jupiter and Saturn.



FIGURE 7.12 : The orbit of Halley's comet

(b) The major axis therefore has length  $2 \times 17.8$  AU = 35.6 AU, so the aphelion distance is at  $r_a = 35.8$  AU  $-r_p = 35.6$  AU - 0.6 AU = 35.0 AU from the Sun. Halley's Comet retreats farther from the Sun than the orbit of Neptune.

(c) The perihelion distance is  $r_p = a(1 - \epsilon)$ , so the eccentricity of the orbit is

$$
\epsilon = 1 - r_p/a = 0.967. \tag{7.65}
$$

The orbit is highly eccentric, as you would expect, since the aphelion is thirty-six times as far from the Sun as the perihelion.

The orbit of Halley's comet is inclined at about  $18^\circ$  to the ecliptic, *i.e.*, , at about  $18^\circ$  to the plane of Earth's orbit, as shown in Figure 7.12. It is also retrograde; the comet orbits the Sun in the opposite direction from that of the planets, orbiting clockwise rather than counterclockwise looking down upon the solar system from above the Sun's north pole.

# 7.6.3 Minimum-energy transfer orbits

What is the best way to send a spacecraft to another planet? Depending upon what one means by "best", many routes are possible. But the trajectory requiring the least fuel (assuming the spacecraft does not take advantage of "gravitational assists" from other planets along the way, which we will discuss later), is a so-called minimum-energy transfer orbit or "Hohmann" transfer orbit, which takes full advantage of Earth's motion to help the spacecraft get off to a good start.



FIGURE 7.13 : A minimum-energy transfer orbit to an outer planet.

Typically the spacecraft is first lifted into low-Earth orbit (LEO), where it circles the Earth a few hundred kilometers above the surface. Then at just the right time the spacecraft is given a velocity boost "delta v" that sends it away from the Earth and into an orbit around the Sun that reaches all the way to its destination. Once the spacecraft coasts far enough from Earth that the Sun's gravity dominates, the craft obeys all the central-force equations we have derived so far, including Kepler's laws: In particular, it coasts toward its destination in an elliptical orbit with the Sun at one focus.

Suppose that in LEO the rocket engine boosts the spacecraft so that it ultimately attains a velocity  $v_{\infty}$  away from the Earth. Then if the destination is Mars or one of the outer planets, it is clearly most efficient if the spacecraft is aimed so that this velocity  $v_{\infty}$  is in the *same* direction as Earth's velocity around the Sun, because then the velocity of the spacecraft in the Sun's frame will have its largest possible magnitude,  $v_E + v_\infty$ . The subsequent transfer orbit towards an outer planet is shown in Figure 7.13. The elliptical path is tangent to the Earth's orbit at launch and tangent to the destination planet's orbit at arrival, just barely making it out to where we want it.

First we will find out how *long* it will take the spacecraft to reach its destination, which is easily found using Kepler's third law. Note that the major axis of the craft's orbit is  $2a_C = r_E + r_P$ , assuming the Earth E and destination planet P move in nearly circular orbits. The semimajor axis of the transfer orbit is therefore

$$
a_C = \frac{r_E + r_P}{2}.\tag{7.66}
$$

From the third law, the period  $T_C$  of the craft's elliptical orbit obeys  $(T_C/T_E)^2 =$  $(a_C/r_E)^3$ , in terms of the period  $T_E$  and radius  $r_E$  of Earth's orbit. The spacecraft travels through only half of this orbit on its way from Earth to the planet, however, so the travel time is

$$
T = T_C/2 = \frac{1}{2} \left( \frac{r_E + r_P}{2r_E} \right)^{3/2} T_E
$$
\n(7.67)

which we can easily evaluate, since every quantity on the right is known. Now we can outline the steps required for the spacecraft to reach Mars or an outer planet.

(1) We first place the spacecraft in a parking orbit of radius  $r_0$  around the Earth. Ideally, the orbit will be in the same plane as that of the Earth around the Sun, and the rotation direction will also agree with the direction of Earth's orbit. Using  $\mathbf{F} = m\mathbf{a}$  in the radial direction, the speed  $v_0$  of the spacecraft obeys

$$
\frac{GM_Em}{r_0^2} = ma = \frac{mv_0^2}{r_0},\tag{7.68}
$$

so  $v_0 = \sqrt{GM_E/r_0}$ .

(2) Then at just the right moment, a rocket provides a boost  $\Delta v$  in the same direction as  $v_0$ , so the spacecraft now has an instantaneous velocity  $v_0 + \Delta v$ , allowing it to escape from the Earth in the most efficient way. This will take the spacecraft from LEO into a hyperbolic orbit relative to the Earth, since we want the craft to escape from the Earth with energy to spare, as shown in Figure 7.14. Then as the spacecraft travels far away, its potential energy  $-GM_Em/r$  due to Earth's gravity approaches zero, so its speed approaches  $v_{\infty}$ , where, by energy conservation,

$$
\frac{1}{2}mv_{\infty}^2 = \frac{1}{2}m(v_0 + \Delta v)^2 - \frac{GM_Em}{r_0}.
$$
\n(7.69)

Solving for  $v_{\infty}$ ,

$$
v_{\infty} = \sqrt{(v_0 + \Delta v)^2 - 2GM_E/r_0} = \sqrt{(v_0 + \Delta v)^2 - 2v_0^2}.
$$
 (7.70)



FIGURE 7.14 : Insertion from a parking orbit into the transfer orbit.

This is the speed of the spacecraft relative to the Earth by the time it has essentially escaped Earth's gravity, but before it has moved very far from Earth's orbit around the Sun.

(3) Now if we have provided the boost  $\Delta v$  at just the right time, when the spacecraft is moving in just the right direction, by the time the spacecraft has escaped from the Earth its velocity  $v_{\infty}$  relative to the Earth will be in the *same* direction as Earth's velocity  $v_E$  around the Sun, so the spacecraft's velocity in the Sun's frame of reference will be as large as it can be for given  $v_{\infty}$ ,

$$
v = v_{\infty} + v_E = \sqrt{(v_0 + \Delta v)^2 - 2v_0^2} + v_E.
$$
\n(7.71)

The Earth has now been left far behind, so the spacecraft's trajectory from here on is determined by the Sun's gravity alone. We have given it the largest speed v we can in the Sun's frame for given boost  $\Delta v$ , to get it off to a good start.

(4) The velocity v just calculated will be the speed of the spacecraft at the perihelion point of some elliptical Hohmann transfer orbit. What speed must this be for the transfer orbit to have the desired semimajor axis  $a$ ? We can find out by equating the total energy (kinetic plus potential) of the spacecraft in orbit around the Sun with the specific energy it has in an elliptical orbit

with the appropriate semimajor axis a. That is,

$$
E = T + U = \frac{1}{2}mv^2 - \frac{GMm}{r} = -\frac{GMm}{2a},
$$
\n(7.72)

where m is the mass of the spacecraft, M is the mass of the Sun,  $r$  is the initial distance of the spacecraft from the Sun (which is the radius of Earth's orbit), and a is the semimajor axis of the transfer orbit. Solving for  $v^2$ , we find

$$
v^2 = GM\left(\frac{2}{r} - \frac{1}{a}\right),\tag{7.73}
$$

which is known historically as the *vis-viva* equation.<sup>8</sup> The quantities on the right are known, so we can calculate  $v$ , which is the Sun-frame velocity the spacecraft must achieve.

# EXAMPLE 7-3: A voyage to Mars

We will use this scenario to plan a trip to Mars by Hohmann transfer orbit. First, we can use Kepler's third law to find how long it will take for the spacecraft to arrive. The major axis of the spacecraft's orbit is  $2a_C = r_E + r_M$ , assuming the Earth and Mars move in nearly circular orbits. The semimajor axis is therefore

$$
a_C = \frac{r_E + r_M}{2} = \frac{1.50 + 2.28}{2} \times 10^8 \text{ km} = 1.89 \times 10^8 \text{ km.}
$$
 (7.74)

The spacecraft travels through only half of this complete elliptical orbit on its way out to Mars, so the travel time is $9$ 

$$
T = T_C/2 = \frac{1}{2} \left(\frac{1.89}{1.50}\right)^{3/2} (1 \text{ year}) = 258 \text{ days.}
$$
 (7.75)

Now we will find the boost required in low-Earth orbit to insert the spacecraft into the transfer orbit. We will first find the speed required of the spacecraft in the Sun's frame just as it enters the Hohmann ellipse. From the vis-viva equation,

$$
v = \sqrt{GM\left(\frac{2}{r} - \frac{1}{a}\right)} = 32.7 \text{ km/s},\tag{7.76}
$$

 $8 Vis-viva$  means "living force", a term used by the German mathematician Gottfried Wilhelm Leibniz in a now-obsolete theory. The term survives only in orbital mechanics.

<sup>&</sup>lt;sup>9</sup>In his science fiction novel "Stranger in a Strange Land", Robert Heinlein looks back on the first human journeys to Mars: "an interplanetary trip ... had to be made in free-fall orbits — from Terra to Mars, 258 Terran days, the same for return, plus 455 days waiting at Mars while the planets crawled back into positions for the return orbit."

## 7.6. ORBITAL DYNAMICS

using  $G = 1.67 \times 10^{-11} \text{ m}^3/\text{kg s}^2$ ,  $M = 1.99 \times 10^{30} \text{ kg}$ ,  $r = 1.50 \times 10^8 \text{ km}$ , and  $a =$  $1.89 \times 10^8$  km. Compare this with the speed of the Earth in its orbit around the Sun, 10  $v_E=\sqrt{GM/r}=29.7$  km/s.

Now suppose the spacecraft starts in a circular parking orbit around the Earth, with radius  $r_0$  = 7000 km corresponding to an altitude above the surface of about 600 km. The speed of the spacecraft in this orbit is  $v_0=\sqrt{GM_E/r_0}=$  7.5 km/s. We then require that  $v_\infty$ , the speed of the spacecraft relative to the Earth after it has escaped from the Earth, is  $v_{\infty} = v - v_E$ = 32.7 km/s - 29.7 km/s = 3.0 km/s. Solving finally for  $\Delta v$  in equation (7.71), we find that the required boost for this trip is

$$
\Delta v = \sqrt{v_{\infty}^2 + 2v_0^2} - v_0
$$
  
=  $\sqrt{(3.0 \text{ km/s})^2 + 2(7.4 \text{ km/s})^2} - 7.5 \text{ km/s} = 3.5 \text{ km/s}.$  (7.77)

This boost of 3.5 km/s is modest compared with the boost needed to raise the spacecraft from Earth's surface up to the parking orbit in the first place. Then once the spacecraft reaches Mars, the rocket engine must provide an additional boost to insert the spacecraft into a circular orbit around Mars, or even to allow it to strike Mars's atmosphere at a relatively gentle speed, because the spacecraft, when it reaches the orbit of Mars in the Hohmann transfer orbit, will be moving considerably more slowly than Mars itself in the frame of the Sun. Note that the Hohmann transfer orbit will definitely take the spacecraft out to Mars orbit, but there are only limited launch windows; we have to time the trip just right so that Mars will actually be at that point in its orbit when the spacecraft arrives.

# EXAMPLE 7-4: Gravitational assists

There is no more useful and seemingly magical application of the Galilean velocity transformation of Chapter 1 than *gravitational assists*. Gravitational assists have been used to send spacecraft to destinations they could not otherwise reach because of limited rocket fuel capabilities, including voyages to outer planets like Uranus and Neptune using gravitational assists from Jupiter and Saturn, and complicated successive visits to the Galilean satellite of Jupiter, gravitationally bouncing from one to another.

Suppose we want to send a heavy spacecraft to Saturn, but it has only enough room for fuel to make it to Jupiter. If the timing is just right and the planets are also aligned just right, it is possible to aim for Jupiter, causing the spacecraft to fly just behind Jupiter as it swings by that planet. Jupiter can pull on the spacecraft, turning its orbit to give it an increased velocity in the Sun's frame of reference, sufficient to propel it out to Saturn.

 $^{10}\mbox{Earth's speed around the Sun actually varies from 29.28 km/s at aphelion to 30.27}$ km/s at perihelion. It is not surprising that the spacecraft's speed of 32.7 km/s exceeds  $v<sub>E</sub>$ ; otherwise it could not escape outwards toward Mars against the Sun's gravity.



FIGURE 7.15 : A spacecraft flies by Jupiter, in the reference frames of (a) Jupiter (b) the Sun

The key here is "in the Sun's frame of reference", because in Jupiter's rest frame the trajectory of the spacecraft can be turned, but there can be no net change in speed before and after the encounter. When the spacecraft is still far enough from Jupiter that Jupiter's gravitational potential energy can be neglected, the spacecraft has some initial speed  $v_0$  in Jupiter's rest frame. As it approaches Jupiter, the spacecraft speeds up, the trajectory is bent, and the spacecraft then slows down again as it leaves Jupiter, once again approaching speed  $v_0$ . In Jupiter's own rest frame, Jupiter cannot cause a net increase in the spacecraft's speed.

However, because of the deflection of the spacecraft, its speed can increase in the Sun's rest frame, and this increased speed therefore gives the spacecraft a larger total energy in the Sun's frame, perhaps enough to project it much farther out into the solar system.

Consider a special case to see how this works. Figure 7.15(a) shows a picture of a spacecraft's trajectory in the rest frame of Jupiter. The spacecraft is in a hyperbolic orbit about Jupiter, entering from below the picture and being turned by (we will suppose) a  $90^{\circ}$  angle by Jupiter. It enters with speed  $v_0$  from below, and exits at the same speed  $v_0$  toward the left. It has gained no energy in Jupiter's frame. Figure 7.15(b) shows the same trajectory drawn in the Sun's frame of reference. In the Sun's frame, Jupiter is moving toward the left with speed  $v_J$ , so the spacecraft's speed when it enters from beneath Jupiter (*i.e.*, , as it travels away from the Sun, which is much farther down in the figure) can be found by vector addition: It is  $v_{\rm initial} \, = \, \sqrt{v_0^2 + v_J^2}$ , since  $v_0$  and  $v_J$  are perpendicular to one another. However, the spacecraft's speed when it leaves Jupiter is  $v_{\text{final}} = v_0 + v_J$ , since in this case the vectors are parallel to one another. Obviously  $v_{\text{final}} > v_{\text{initial}}$ ; the spacecraft has been sped up in the Sun's frame of reference, so that it has more energy than before, and may be able to reach Saturn as a result.

Clearly the trajectory must be tuned very carefully to get the right angle of flyby so that the spacecraft will be thrown in the right direction and with the right speed to reach its final destination.

So much for our brief treatment of some of the highlights of orbital mechanics in Newtonian gravitational fields. However, in spite of the enormous success of the theory in predicting the motion of planets, moons, comets, and spacecraft, it nevertheless fails the test of relativity, so cannot be the final theory!

# Problems

PROBLEM 7-1: Two satellites of equal mass are each in circular orbits around the Earth. The orbit of satellite A has radius  $r_A$ , and the orbit of satellite B has radius  $r_B = 2r_A$ . Find the ratio of their (a) speeds (b) periods (c) kinetic energies (d) potential energies (e) total energies.

PROBLEM 7-2: Halley's comet passes through Earth's orbit every 76 years. Make a close estimate of the maximum distance Halley's comet gets from the Sun.

**PROBLEM 7-3:** Two astronauts are in the same circular orbit of radius  $R$  around the Earth,  $180^\circ$  apart. Astronaut A has two cheese sandwiches, while Astronaut B has none. How can A throw a cheese sandwich to B? In terms of the astronaut's period of rotation about the Earth, how long does it take the sandwich to arrive at B? What is the semimajor axis of the sandwich's orbit? (There are many solutions to this problem, assuming that A can throw the sandwich with arbitrary speeds.)

**PROBLEM 7-4:** Suppose that the gravitational force exerted by the Sun on the planets were inverse  $r$  - squared, but not proportional to the planet masses. Would Kepler's third law still be valid in this case?

**PROBLEM 7-5:** Planets in a hypothetical solar system all move in circular orbits, and the ratio of the periods of any two orbits is equal to the ratio of their orbital radii squared. How does the central force depend on the distance from this Sun?

PROBLEM 7-6: An astronaut is marooned in a powerless spaceship in circular orbit around the asteroid Vesta. The astronaut reasons that puncturing a small hole through the spaceship's outer surface into an internal water tank will lead to a jet action of escaping water vapor expanding into space. Which way should the jet be aimed so the spacecraft will descend in the least time to the surface of Vesta? (In Isaac Asimov's first published story Marooned off Vesta, the jet was not oriented in the optimal way, but the ship reached the surface anyway.)

**PROBLEM 7-7:** A thrown baseball travels in a small piece or an elliptical orbit before it strikes the ground. What is the semimajor axis of the ellipse? (Neglect air resistance.)

PROBLEM 7-8: Assume that the period of elliptical orbits around the Sun depends only upon  $G, M$  (the Sun's mass), and a, the semimajor axis of the orbit. Prove Kepler's third law using dimensional arguments alone.

**PROBLEM 7-9:** A spy satellite designed to peer closely at a particular house every day at noon has a 24-hour period, and a perigee of 100 km directly above the house. (a) What is the altitude of the satellite at apogee? (c) What is the speed of the satellite at perigee? (Earth's radius is 6400 km.)

**PROBLEM 7-10:** Show that the kinetic energy

$$
T = \frac{1}{2}m_1\dot{\boldsymbol{r}}_1^2 + \frac{1}{2}m_2\dot{\boldsymbol{r}}_2^2
$$

of a system of two particles can be written in terms of their center-of-mass velocity  $\dot{\bm{R}}_{\rm cm}$  and relative velocity  $\dot{r}$  as

$$
T = \frac{1}{2}M\dot{\mathbf{R}}_{\rm cm}^2 + \frac{1}{2}\mu \dot{\mathbf{r}}^2
$$

where  $M = m_1 + m_2$  is the total mass and  $\mu = m_1 m_2 / M$  is the reduced mass of the system.

**PROBLEM 7-11:** Show that the shape  $r(\theta)$  for a central spring force ellipse takes the standard form  $r^2\,=\,a^2b^2/(b^2\cos^2\theta\,+\,a^2\sin^2\theta)$  if (in equation (7.37)) we use the plus sign in the denominator and choose  $\theta_0 = \pi/4$ .

PROBLEM 7-12: Show that the period of a particle that moves in a circular orbit close to the surface of a sphere depends only upon G and the average density  $\rho$  of the sphere. Find what this period would be for any sphere having an average density equal to that of water. (The sphere consisting of the planet Jupiter nearly qualifies!)

PROBLEM 7-13: (a) Communication satellites are placed into geosynchronous orbits; that is, they typically orbit in Earth's equatorial plane, with a period of 24 hours. What is the radius of this orbit, and what is the altitude of the satellite above Earth's surface? (b) A satellite is to be placed in a synchronous orbit around the planet Jupiter to study the famous "red spot". What is the altitude of this orbit above the "surface" of Jupiter? (The rotation period of Jupiter is 9.9 hours, its mass is about 320 Earth masses, and its radius is about 11 times that of Earth.)

**PROBLEM 7-14:** The perihelion and aphelion of the asteroid Apollo are  $0.964 \times 10^8$  km and  $3.473 \times 10^8$  km from the Sun, respectively. Apollo therefore swings in and out through Earth's orbit. Find (a) the semimajor axis (b) the period of Apollo's orbit, given the Sun's mass  $M = 1.99 \times 10^{30}$  kg. (Apollo is only one of many "Apollo asteroids" that cross Earth's orbit. Some have struck the Earth in the past, and others will strike in the future unless we find a way to prevent it.)

**PROBLEM 7-15:** The time for a probe of mass  $\mu$  to move from one radius to another under the influence of a central spring force was shown in the dhapter to be

$$
t(r) = \pm \frac{\mu}{2} \int_{r_0}^r \frac{r \ dr}{\sqrt{Er^2 - k r^4 - \ell^2/2\mu}},\tag{7.78}
$$

where E is the energy, k is the spring constant, and  $\ell$  is the angular momentum. Evaluate the integral in general, and find (in terms of given parameters) how long it takes the probe to go from the maximum to the minimum value of  $r$ .

**PROBLEM 7-16:** (a) Evaluate the integral in equation (7.29)) to find  $t(r)$  for a particle

moving in a central gravitational field. (b) From the results, derive the equation for the period moving in a central gravitational field. (b) From the results, derive the equation for the period<br> $T=(2\pi/\sqrt{GM}a^{3/2}$  in terms of the semimajor axis  $a$  for particles moving in elliptical orbits around a central mass.

**PROBLEM 7-17:** The Sun moves at a speed  $v_S = 220$  km/s in a circular orbit of radius  $r_S = 30,000$  light years around the center of the Milky Way galaxy. The Earth requires  $T_E = 1$  year to orbit the Sun, at a radius of  $1.50 \times 10^{11}$  m. (a) Using this information, find a formula for the total mass responsible for keeping the Sun in its orbit, as a multiple of the Sun's mass  $M_0$ , in terms also of the parameters  $v_S, r_S, T_E$ , and  $r_E$ . Note that G is not needed here! (b) Find this mass numerically.

**PROBLEM 7-18:** The two stars in a double-star system circle one another gravitationally, with period  $T$ . If they are suddenly stopped in their orbits and allowed to fall together, show that they will collide after a time  $T/4\sqrt{2}.$ 

**PROBLEM 7-19:** A particle is subjected to an attractive central spring force  $F = -kr$ . Show, using Cartesian coordinates, that the particle moves in an elliptical orbit, with the force center at the center of the eilipse, rather than at one focus of the ellipse.

**PROBLEM 7-20:** Use equation (7.32) to show that if the central force on a particle is  $F = 0$ , the particle moves in a straight line.

**PROBLEM 7-21:** Find the central force law  $F(r)$  for which a particle can move in a spiral orbit  $r = k\theta^2$ , where  $k$  is a constant.

PROBLEM 7-22: Find two second integrals of motion in the case  $F(r) = -k/r^3$ , where k is a constant. Describe the shape of the trajectories.

**PROBLEM 7-23:** A particle of mass m is subject to a central force  $F(r) = -GMm/r^2$  –  $k/r^3$ , where  $k$  is a positive constant. That is, the particle experiences an inverse-cubed attractive force as well as a gravitational force. Show that if  $k$  is less than some limiting value, the motion is that of a precessing ellipse. What is this limiting value, in terms of  $m$  and the particle's angular momentum?

**PROBLEM 7-24:** Find the allowed orbital shapes for a particle moving in a *repulsive* inversesquare central force. These shapes would apply to  $\alpha$  - particles scattered by gold nuclei, for example, due to the repulsive Coulomb force between them.

**PROBLEM 7-25:** A particle moves in the field of a central force for which the potential energy is  $U(r) = kr^n$ , where both k and n are constants, positive, negative, or zero. For what range of  $k$  and  $n$  can the particle move in a stable, circular orbit at some radius?

**PROBLEM 7-26:** A particle of mass m and angular momentum  $\ell$  moves in a central springlike force field  $F = -kr$ . (a) Sketch the effective potential energy  $U_{\text{eff}}(r)$ . (b) Find the radius  $r_0$  of circular orbits. (c) Find the period of small oscillations about this orbit, if the particle is

perturbed slightly from it. (d) Compare with the period of rotation of the particle about the center of force. Is the orbit closed or open for such small oscillations?

PROBLEM 7-27: Find the period of small oscillations about a circular orbit for a planet of mass m and angular momentum  $\ell$  around the Sun. Compare with the period of the circular orbit itself. Is the orbit open or closed for such small oscillations?

**PROBLEM 7-28:** (a) A binary star system consists of two stars of masses  $m_1$  and  $m_2$  orbiting about one another. Suppose that the orbits of the two stars are circles of radii  $r_1$  and  $r_2$ , centered on their center of mass. Show that the period of the orbital motion is given by

$$
T^{2} = \frac{4\pi^{2}}{G(m_{1} + m_{2})}(r_{1} + r_{2})^{2}.
$$

(b) The binary system Cygnus X-1 consists of two stars orbiting about their common center of mass with orbital period 5.6 days. One of the stars is a supergiant with a mass 25 times that of the Sun. The other star is believed to be a black hole with a mass of about 10 times the mass of the Sun. From the information given, determine the distance between these stars, assuming that the orbits are circular.

**PROBLEM 7-29:** A spacecraft is in a circular orbit of radius  $r$  about the Earth. What is the minimum  $\Delta v$  (in km/s) the rocket engines must provide to allow the craft to escape from the Earth?

**PROBLEM 7-30:** A spacecraft is designed to dispose of nuclear waste either by carrying it out of the solar system or by crashing it into the Sun. Which mission requires the least rocket fuel? (Do not include possible gravitational boosts from other planets or worry about escaping from Earth's gravity.)

PROBLEM 7-31: After the engines of a 100 kg spacecraft have been shut down, the spacecraft is found to be a distance  $10^7$  m from the center of the Earth, moving with a speed of 7000 m/s at an angle of  $45^\circ$  relative to a straight line from the Earth to the spacecraft. (a) Calculate the total energy and angular momentum of the spacecraft. (b) Determine the semimajor axis and the eccentricity of the spacecraft's geocentric trajectory.

PROBLEM 7-32: A 100 kg spacecraft is in circular orbit around the Earth, with orbital radius  $10<sup>4</sup>$  km and with speed 6.32 km/s. It is desired to turn on the rocket engines to accelerate the spacecraft up to a speed so that it will escape the Earth and coast out to Jupiter. Use a value of  $1.5\times10^8$  km for the radius of Earth's orbit,  $7.8\times10^8$  km for Jupiter's orbital radius, and a value of 30 km/s for the velocity of the Earth. Determine (a) the semimajor axis of the Hohmann transfer orbit to Jupiter; (b) the travel time to Jupiter; (c) the heliocentric velocity of the spacecraft as it leaves the Earth; (d) the minimum  $\Delta v$  required from the engines to inject the spacecraft into the transfer orbit.

**PROBLEM 7-33:** The Earth-Sun L5 Lagrange point is a point of stable equilibrium that trails the Earth in its heliocentric orbit by  $60^{\circ}$  as the Earth (and spacecraft) orbit the Sun.

Some gravity wave experimenters want to set up a gravity wave experiment at this point. The simplest trajectory from Earth puts the spacecraft on an elliptical orbit with a period slightly longer than one year, so that, when the spacecraft returns to perihelion, the L5 point will be there. (a) Show that the period of this orbit is 14 months. (b) What is the semimajor axis of this elliptical orbit? (c) What is the perihelion speed of the spacecraft in this orbit? (d) When the spacecraft finally reaches the L5 point, how much velocity will it have to lose (using its engines) to settle into a circular heliocentric orbit at the L5 point?

**PROBLEM 7-34:** In *Stranger in a Strange Land*, Robert Heinlein claims that travelers to Mars spent 258 days on the journey out, the same for return, "plus 455 days waiting at Mars while the planets crawled back into positions for the return orbit." Show that travelers would have to wait about 455 days, if both Earth-Mars journeys were by Hohmann transfer orbits.

PROBLEM 7-35: A spacecraft approaches Mars at the end of its Hohmann transfer orbit. (a) What is its velocity in the Sun's frame, before Mars's gravity has had an appreciable influence on it? (b) What  $\Delta v$  must be given to the spacecraft to insert it directly from the transfer orbit into a circular orbit of radius 6000 km around Mars?

PROBLEM 7-36: A spacecraft parked in circular low-Earth orbit 200 km above the ground is to travel out to a circular geosynchronous orbit, of period 24 hours, where it will remain. (a) What initial  $\Delta v$  is required to insert the spacecraft into the transfer orbit? (b) What final  $\Delta v$  is required to enter the synchronous orbit from the transfer orbit?

PROBLEM 7-37: A spacecraft is in a circular parking orbit 300 km above Earth's surface. What is the transfer-orbit travel time out to the Moon's orbit, and what are the two  $\Delta v's$ needed? Neglect the Moon's gravity.

**PROBLEM 7-38:** A spacecraft is sent from the Earth to Jupiter by a Hohmann transfer orbit. (a) What is the semimajor axis of the transfer ellipse? (b) How long does it take the spacecraft to reach Jupiter? (c) If the spacecraft actually leaves from a circular parking orbit around the Earth of radius 7000 km, find the rocket  $\Delta v$  required to insert the spacecraft into the transfer orbit.

PROBLEM 7-39: Find the Hohmann transfer-orbit time to Venus, and the  $\Delta v's$  needed to leave an Earth parking orbit of radius 7000 km and later to enter a parking orbit around Venus, also of  $r = 7000$  km. Sketch the journey, showing the orbit directions and the directions in which the rocket engine must be fired.

PROBLEM 7-40: Consider an astronaut standing on a weighing scale within a spacecraft. The scale by definition reads the normal force exerted by the scale on the astronaut (or, by Newton's third law, the force exerted on the scale by the astronaut.) By the principle of equivalence, the astronaut can't tell whether the spacecraft is (a) sitting at rest on the ground in uniform gravity q, or (b) is in gravity-free space, with uniform acceleration  $\alpha$  numerically equal to the gravity  $g$  in case (a). Show that in one case the measured weight will be proportional to the inertial mass of the astronaut, and in the other case proportional to the astronaut's

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gravitational mass. So if the principle of equivalence is valid, these two types of mass must have equal magnitudes.

PROBLEM 7-41: Bertrand's theorem In Section 7.5, we stated a powerful theorem that asserts that the only potentials for which all bounded orbits are closed are:  $\, U_{\text{eff}} \propto r^2$  and  $U_{\text{eff}} \propto r^{-1}.$ To prove this theorem, let us proceed in steps. If a potential is to have bound orbits, the effective potential must have a minimum since a bound orbit is a dip in the effective potential. The minimum is at  $r = R$  given by

$$
U'(R) = \frac{\ell^2}{\mu r^2} \tag{7.79}
$$

as shown in equation (7.22). This corresponds to a circular orbit which is stable if

$$
U''(R) + \frac{3}{R}U'(R) > 0\tag{7.80}
$$

as shown in equation (7.23)). Consider perturbing this circular orbit so that we now have an  $r_{\text{min}}$  and an  $r_{\text{max}}$  about  $r = R$ . Define the apsidal angle  $\Delta\varphi$  as the angle between the point on the perturbed orbit at  $r_{\min}$  and the point at  $r_{\max}$ . Assume  $(R - r_{\min})/R \ll 1$  and  $(r_{\text{max}} - R)/R \ll 1$ . Note that closed orbits require

$$
\Delta \varphi = 2 \pi \frac{m}{n} \tag{7.81}
$$

for integer  $m$  and  $n$  and for all  $R$ .

• Show that

$$
\Delta \varphi = \pi \sqrt{\frac{U'(R)}{3U'(R) + RU''(R)}}.
$$
\n(7.82)

Notice that the argument under the square root is always positive by virtue of the stability of the original circular orbit.

• In general, any potential  $U(r)$  can be expanded in terms of positive and negative powers of  $r$ , with the possibility of a logarithmic term

$$
U(r) = \sum_{n = -\infty}^{\infty} \frac{a_n}{r^n} + a \ln r \tag{7.83}
$$

Show that, to have the apsidal angle independent of  $r$ , we must have:  $U(r) \propto r^{-\alpha}$  for  $\alpha < 2$  and  $\alpha \neq 0$ , or  $U(r) \propto \ln r$ . Show that the value of  $\Delta \varphi$  is then

$$
\Delta \varphi = \frac{\pi}{\sqrt{2 - \alpha}} \,,\tag{7.84}
$$

where the logarithmic case corresponds to  $\alpha = 0$  in this equation.

• Show that if  $\lim_{r\to\infty}U(r)=\infty$ , we must have  $\lim_{E\to\infty}\Delta\varphi=\pi/2$ . This corresponds to the case  $\alpha < 0$ . We then must have

$$
\Delta \varphi = \frac{\pi}{\sqrt{2 - \alpha}} = \frac{\pi}{2} \,,\tag{7.85}
$$

or  $\alpha = -2$ , thus proving one of the two cases of the theorem.

• Show that for the case  $0 \leq \alpha$ , we must have  $\lim_{E \to -\infty} \Delta \varphi = \pi/(2 - \alpha)$ . This then implies

$$
\Delta \varphi = \frac{\pi}{\sqrt{2 - \alpha}} = \frac{\pi}{2 - \alpha} \tag{7.86}
$$

which leaves only the possibility  $\alpha = 1$ , completing the proof of the theorem.

# BEYOND EQUATIONS

# James Clerk Maxwell (1831 - 1879)

J. C. Maxwell was born in Edinburgh, Scotland. He was educated at home, first by his mother, who died when Maxwell was eight, and then by his father. He entered the University of Edinburgh at age sixteen, followed by Cambridge University at age nineteen. After gaining his degree he obtained a professorship at Aberdeen University, where he taught for four years before he was laid off due to a merger of two institutions. He then became a professor at King's College London, where he spent five years: this was the most productive period of his life.



Building upon physical concepts of Carl Friedrich Gauss, Michael Faraday, and many others, Maxwell formulated the mathematical theory of electromagnetism, uniting electrical and magnetic phenomena, and showing that light is an electromagnetic wave. This grand unification was the most important advance in physics during the nineteenth century. Maxwell also made outstanding contributions to statistical mechanics, the theory of color, the viscosity of gases, and dimensional analysis. He wrote a textbook, Theory of Heat, and an elementary monograph, Matter and Motion.

Maxwell resigned his chair at King's College in 1865 and returned to his home in Edinburgh. In 1871 he was named the first Cavendish Professor at Cambridge; he remained there for seven years, building up the newly-established Cavendish Laboratory until his death at age 48 from the same form of cancer that had killed his mother. As of 2011, Cavendish researchers have won 29 Nobel Prizes.

In the view of many, Maxwell is the third greatest physicist of all time. Biographies of the first two can be found in the first two chapters of this book.